

2-22-02

A

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

09792909-5344

First Named Inventor or Application Identifier

Kaoru Koike

Express Mail Label No: EL834724827US

Only for new non-provisional applications under 37 CFR 1.53(b)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 72
2. ☒ Drawing(s) (35USC 113) Total Pages 12
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
- [Note Box 4 Below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☐ Certified copy of Japanese priority document No.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
TOTAL CLAIMS	86	66	\$18.00	1,188.00
INDEPENDENT CLAIMS	10	7	84.00	588.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			280.00	0.00
			TOTAL FEE	\$2,516.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$2,516.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
P.O. Box #061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606  
Telephone 312/876-8000  
Customer ID #26263

David R. Metzger (Reg. No. 32,919)

Date: February 21, 2002

SIGNATURE

U-11

**David R. Metzger**  
312/876-2578

8000 Sears Tower  
233 South Wacker Drive  
Chicago, IL 60606  
312.876.8000  
312.876.7934 fax  
www.sonnenschein.com

*Chicago*  
*Kansas City*  
*Los Angeles*  
*New York*  
*San Francisco*  
*St. Louis*  
*Washington, D.C.*  
*West Palm Beach*

February 21, 2002

Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"MASK-MAKING MEMBER AND ITS PRODUCTION METHOD, MASK  
AND ITS MAKING METHOD, EXPOSURE PROCESS, AND  
FABRICATION METHOD OF SEMICONDUCTOR DEVICE"  
Applicant(s): Kaoru Koike, et al.  
Attorney Docket No. 09792909-5344

Dear Sir:

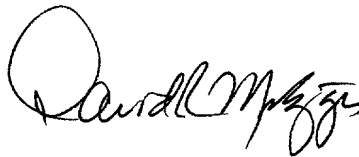
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,  
including 86 claims (10 independent), 12 sheets of drawings (Figs. 1-13) and the filing fee of  
\$2,516.00 on behalf of

KAORU KOIKE and SHIGERU MORIYA

and request that the application be assigned a serial number and filing date pursuant to the  
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL



By:

David R. Metzger

DVM:kat  
Enclosures